

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : LEVY, David S. et al.
Serial No. : To be assigned
Filed : May 8, 1998
For : REDUCED SIZE MULTIMODE INTERFERENCE
BASED COUPLER

Express Mail Mailing No. EJ839861515US

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patent
Box PCT
Washington, D.C., 20231

Sir or Madam:

Applicant brings to the Examiner's attention the documents listed on the attached Form PTO-1449, which are relevant to the above-identified patent application, copies of which are enclosed.

It is respectfully requested that the listed documents be made of record in the application.

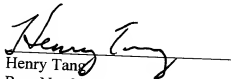
1. U.S. Patent No. 4,362,357 granted December 7, 1982 to Stockmann et al.;
2. U.S. Patent No. 5,048,909 granted September 17, 1991 to Henry et al.;
3. LEVY et al., A New Design for Ultracompact Multimode Interference-based 2 X 2 Couplers. IEEE Photonics Technology Letters. January 1998, Vol. 10, No. 1, pages 96-98; and
4. VELLEKOOP et al., Four-Channel Integrated-Optic Wavelength Demultiplexer With Weak Polarization Dependence. Journal of Lightwave Technology. March 1991, Vol. 9, No. 3, pages 310-314.

09/674821

529-Rec'd PCT/PTC 06 NOV 2000
A445742 USA 0709501400

Respectfully submitted,

BAKER BOTTS LLP


Henry Tang
Reg. No. 29,705

Dated: November 6, 2000

Attorney for the Applicants
Tel. (212) 705-5000

Enclosures